

# Corporate Resolution

Legal Name: \_\_\_\_\_ Tax ID Number: \_\_\_\_\_

**Please include enabling portions of your corporate documents, including Articles of Incorporation, Articles of Organization, or any other documents formally demonstrating your company's authorized officers and permissible corporate powers.**

Complete the registration exactly the same as the Agreement to which this Resolution is attached.

Tax reporting information is processed using the Taxpayer Identification Number of the corporation entity. As a corporate entity, you may be exempt from backup with-holding and IRS reporting

The following Resolution shall be executed by an authorized person and subsequent changes shall be communicated to optionsXpress in writing.

I, \_\_\_\_\_, in my official capacity as \_\_\_\_\_  
(name) (title)

of \_\_\_\_\_, duly organized under the laws of the State of \_\_\_\_\_,  
(name of corporate entity) (State/Province/Country)

hereby certify that at a meeting of the \_\_\_\_\_ of this corporate entity, duly and  
(Board of Directors/Officers/Managers)

regularly convened and held on this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ at which a quorum for the transaction of business was present and acting, the following resolution was duly and regularly adopted, and is still in full force and effect, and appears as follows in the minutes of the meeting: Resolved that the President, Vice President, Treasurer, or any officers of this corporate entity are hereby authorized to open a brokerage account to buy, sell, assign and transfer \_\_\_\_\_  
(as applicable, equities/options/futures/bonds/margin or "no restrictions" if all apply)

and to execute any and all instructions necessary, proper or desirable for the purpose; further, that any past action in accordance herewith is hereby ratified and confirmed; and further, that any officers of this corporate entity are hereby authorized to certify this resolution to whom it may concern. I further certify that the foregoing resolution is not contrary to any provision in the corporate charter or by-laws of this corporate entity, that the following is now a true and correct list of officers of the corporate entity, and that I have been duly authorized to make this certification on behalf of this corporate entity. In witness whereof, I hereunto set my hand and affix the seal of this corporate entity on this

\_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_. [NO] SEAL

X \_\_\_\_\_  
(Signature)

X \_\_\_\_\_  
(Signature)

X \_\_\_\_\_  
(Print Name & Title)

X \_\_\_\_\_  
(Print Name & Title)

X \_\_\_\_\_  
(Signature)

X \_\_\_\_\_  
(Signature)

X \_\_\_\_\_  
(Print Name & Title)

X \_\_\_\_\_  
(Print Name & Title)

Any officers signing on the corporate entity resolution will have full trading and money movement authorization. If the corporation wants to empower an agent outside the corporation or restrict an officer to trading authorization only, a Limited Trading Authorization form (LTA) must be completed. The LTA form is available in the Customer Service section of our website.

Please be aware that each officer listed on the resolution will be required to provide additional personal information to verify identity of each officer. To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

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